

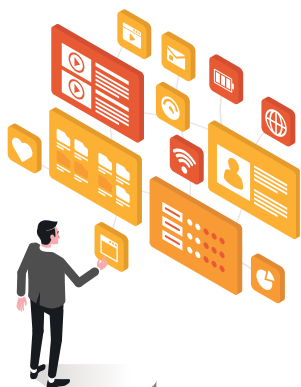


# Alternative Investment Fund Managers

Building the bridge -  
Achieving Regulatory Compliance

It is vital and challenging for an **Alternative Investment Fund Manager** (“AIFM”) which operates under an authorisation granted to it by the Cyprus Securities and Exchange Commission (“CySEC”) to effectively adhere to the **laws, directives, EU regulations, ESMA guidelines and general framework** that applies to AIFMs.

## Challenges faced by AIFMs



- Operational effectiveness
- Adherence to remuneration principles
- Identification and mitigation of conflicts of interest
- Disclosures to investors and transparency requirements
- Corporate governance
- Regulatory and in-house reporting
- Multijurisdictional reporting
- Keeping up with updates and developments
- Anti-money laundering governance
- Compliance with marketing rules
- Key Information Documents

## What we bring to you

Multicompetent team with diversified academic and professional credentials (finance, accounting and legal), having acquired extensive experience in the industry, hence every task is delegated to and carried out by the most suitable specialist

Remain vigilant for alerts and updates as to any developments and trends arising from time to time

Vast experience in providing services throughout all stages of the AIFM's lifecycle

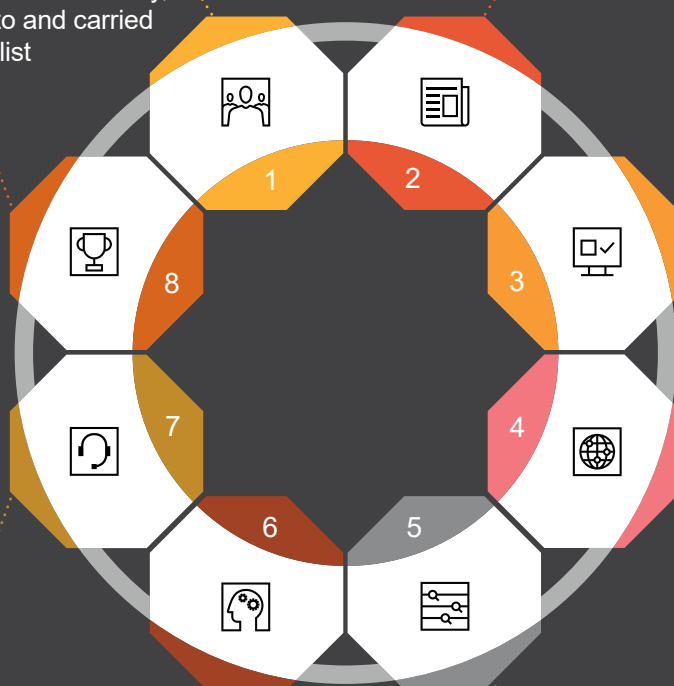
Direct and frequent communication with Competent Authorities

Numerical and accounting reports are prepared by our integrated Fund Administration team, which is assigned on similar tasks on a daily basis

Access to tailor-made deliverables, based on best practices, latest market developments and regulatory framework

Dominant position in licensing services with 100% success rate

Drawing knowledge from the PwC Global network, by having access to international industry insights





## How can we help?

1

### Human Capital

- Trainings on various aspects relating to regulatory compliance (e.g. anti-money laundering, client acceptance procedures, code of conduct etc.).
- Assistance in understanding and assessing the impact on the remuneration requirements on your organisation and identified staff.
- Design remuneration mechanisms adjusted to your organisation's profile.

2

### Regulatory Compliance

- Handle queries relating to regulatory compliance issues/matters.
- Provision of updates regarding amendments in the regulatory framework relating to AIFMs and AIFs under management.
- Liaison with CySEC on a need basis on your behalf.
- Assistance in the preparation and submission of notification packages to the CySEC.

3

### Reporting

- Assistance in the preparation of mandatory reports (XML, RBS-F, quarterly statistical reports) which must be submitted to CySEC and provision of guidance throughout the process of their submission.
- Assistance with multijurisdictional reporting obligations.

4

### Operational Effectiveness

- Review of the current operations and/or organisational structure.
- Assistance with the establishment of monitoring mechanisms, record keeping tools and procedures that will aid the effective implementation of system rules.
- Review and update of existing manuals, policies and procedures as and when required so as to remain compliant with the regulatory framework and ongoing CySEC requirements.

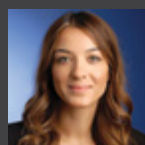




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