



Alternative Investment Fund Managers

Building the bridge - Achieving Regulatory Compliance



It is vital and challenging for an Alternative Investment Fund Manager (“AIFM”) which operates under an authorisation granted to it by the Cyprus Securities and Exchange Commission (“CySEC”) to effectively adhere to the laws, directives, EU regulations, ESMA guidelines and overall framework that applies to AIFMs.



Challenges faced by AIFMs

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- Operational effectiveness
- Adherence to remuneration principles
- Identification and mitigation of conflicts of interest
- Disclosures to investors and transparency requirements
- Corporate governance
- Regulatory and in-house reporting
- Multijurisdictional reporting
- Keeping up with updates and developments
- Anti-money laundering governance
- Compliance with marketing rules
- Key Information Documents

What we bring to you



Multicompetent team with diversified academic and professional credentials (finance, accounting and legal), having acquired extensive experience in the industry, hence every task is delegated to and carried out by the most suitable specialist

01



Access to tailor-made deliverables, based on best practices, latest market developments and regulatory framework

03



Preparation of numerical and accounting reports by our integrated Fund Administration team, which is assigned on similar tasks on a daily basis

02



Dominant position in licensing services with 100% success rate

04



Drawing knowledge from the PwC Global network, by having access to international industry insights

05



Vast experience in providing services throughout all stages of the AIFM's lifecycle

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Direct and frequent communication with Competent Authorities

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Remain vigilant for alerts and updates as to any developments and trends arising from time to time

08



Human Capital

- Trainings on various aspects relating to regulatory compliance (e.g. antimoney laundering, client acceptance procedures, code of conduct etc.).
- Assistance in understanding and assessing the impact on the remuneration requirements on your organisation and identified staff.
- Design remuneration mechanisms adjusted to your organisation's profile.



Regulatory Compliance

- Handle queries relating to regulatory compliance issues/ matters.
- Provision of updates regarding amendments in the regulatory framework relating to AIFMs and AIFs under management.
- Liaison with CySEC on a need basis on your behalf.
- Assistance in the preparation and submission of notification packages to the CySEC.
- Assistance with the preparation or review of a Compliance Monitoring and/ or AML Compliance Program, on a riskbased approach.
- Assistance with the preparation and continuous update of a Regulatory obligations calendar.



Reporting

- Assistance in the preparation of mandatory reports (XML, RBS-F, quarterly statistical reports) which must be submitted to CySEC and provision of guidance throughout the process of their submission.
- Assistance with multijurisdictional reporting obligations.
- Assistance with the preparation or review of annual Regulatory and AML Compliance reports.



Operational Effectiveness

- Review of the current operations and/ or organisational structure.
- Assistance with the establishment of monitoring mechanisms, record keeping tools and procedures that will aid the effective implementation of system rules.
- Review and update of existing manuals, policies and procedures as and when required so as to remain compliant with the regulatory framework and ongoing CySEC requirements.
- Assistance with the preparation or review of marketing material.





Contact us



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